

Essential Elements of an Effective Export Control System: The Strategic Trade Control (STC) Law

Pam Durham
Director

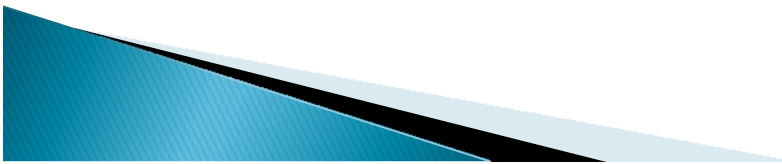
Office of Missile, Biological, and Chemical Nonproliferation
U.S. Department of State
February 2016

Key Elements of a Strategic Trade Control (STC) System

- ▶ Broad national commitment to export controls.
- ▶ Legal authority to control the export of defense-related and dual-use goods and technologies – and corresponding regulatory authorities.
- ▶ Licensing system and institutions.
- ▶ Enforcement mechanisms.
- ▶ Industry outreach and compliance.

Value of a National STC Law

- ▶ Underpins the development of the overall system.
- ▶ Helps meeting international obligations.
- ▶ Affirms commitment to nonproliferation.
- ▶ Provides the core principles underlying strategic trade licensing decisions.
- ▶ Can facilitate trade and economic cooperation.
- ▶ Undergirds a system of transparency and compliance.



Key Aspects of an STC Law

- ▶ Legislative purpose of intent.
- ▶ Relationship to other legal authorities.
- ▶ Scope and jurisdiction of the STC system.
- ▶ Authority and responsibility for implementing the STC law.
- ▶ Role of government agencies and actors.
- ▶ Assurances of public outreach and transparency.
- ▶ Requirements for documentation and confidentiality.
- ▶ Industry obligations.
- ▶ Authority to enforce and penalize.
- ▶ Mandate for international engagement.



Comments or Questions?

